# UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. RO9759P

Total Pages in this Submission

## TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility p invention entitled:	atent application for an
APPARATUS AND METHOD FOR MEASURING THE ACOUSTIC PROPERTIES OF A	MEMBRANOPHONE
and invented by:	
JOHN H. ROBERTS	3 174 174 18 18 18 18 18 18 18 18 18 18 18 18 18
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·	10/
If a CONTINUATION APPLICATION, check appropriate box and supply the requisite inform	
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application Which is a:	n No.:
□ C ntinuation □ Divisional □ Continuation-in-part (CIP) of prior application	n No ·
Which is a:	
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application	n No.:
Enclosed are:	
Application Elements	
1. ☐ Filing fee as calculated and transmitted as described below	
2. Specification having 21 pages and including the following	na:
pages and including the following	ig.
a. 🛛 Descriptive Title of the Invention	
b.   Cross References to Related Applications (if applicable)	
c.   Statement Regarding Federally-sponsored Research/Development (if applied)	cable)
d.   Reference to Sequence Listing, a Table, or a Computer Program Listing Ap	pendix
e. 🗵 Background of the Invention	
f. 🗵 Brief Summary of the Invention	
g.   Brief Description of the Drawings (if filed)	
h. 🛛 Detailed Description	
i. 🛛 Claim(s) as Classified Below	
j. 🛮 Abstract of the Disclosure	

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## Applicati n Elements (C ntinued)

3.	$\boxtimes$	Drawing(s) (when necessary as prescribed by 35 USC 113)					
	a.	☑ Formal Number of Sheets6					
	b.	☐ Informal Number of Sheets					
4.	×	Oath or Declaration					
	a.	☑ Newly executed (original or copy) ☐ Unexecuted					
	b.	☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)					
	C.	☑ With Power of Attorney ☐ Without Power of Attorney					
	d.	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).					
5.		Incorporation By Reference (usable if Box 4b is checked)  The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.					
6.		CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)					
7.		(					
8.		☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)					
	a.	☐ Computer Readable Form (CFR)					
	b.	b.  Specification Sequence Listing on:					
		i. CD-ROM or CD-R (2 copies); or					
		ii. 🔲 Paper					
	C.	□ Statement(s) Verifying Identical Paper and Computer Readable Copy					
Accompanying Application Parts							
9.		Assignment Papers (cover sheet & document(s))					
10.		37 CFR 3.73(B) Statement (when there is an assignee)					
11.		l English Translation Document (if applicable)					
12.		Information Disclosure Statement/PTO-1449   Copies of IDS Citations					
13.		Preliminary Amendment					
14.	$\boxtimes$	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)					
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)					
16.	$\boxtimes$	☑ Certificate of Mailing					
		☐ First Class ☒ Express Mail (Specify Label No.): EL984828266US					

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of the application.

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Additional Enclosures (please identify below):

Acc mpanying Applicati n Parts (C ntinu d)

### Warning

published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

# 72/04

# U.S. PTO

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Total Pages in this Submission

## Fee Calculation and Transmittal

#### **CLAIMS AS FILED** For #Filed #Allowed #Extra Rate Fee T tal Claims \$0.00 21 - 20 = 0 \$9.00 х 4 Indep. Claims - 3 = 1 \$42.00 \$42.00 х Multiple Dependent Claims (check if applicable) \$0.00 \$370.00 **BASIC FEE** \$0.00 OTHER FEE (specify purpose) **TOTAL FILING FEE** \$412.00 ☐ A check in the amount of to cover the filing fee is enclosed. ☑ The Director is hereby authorized to charge and credit Deposit Account No. 50-1600 as described below. \$412.00 as filing fee. ☑ Credit any overpayment. ☑ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17. ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b). Dated: November 17, 2003 Signature

Kenton R. Mullins Stout, Uxa, Buyan & Mullins, LLP 4 Venture, Suite 300 Irvine, CA 92618

CC:

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	/	
John H. Roberts	/	
	/	
U.S. Serial No: unknown	/	Group Art Unit: unknown
	/	-
Filed: herewith	1	Examiner: unknown
	/	
For: APPARATUS AND METHOD FOR	/	
MEASURING THE ACOUSTIC	/	
PROPERTIES OF A MEMBRANOPHONE	1	
	1	

## EXPRESS MAIL MAILING LABEL NO.

S EL 4848585PP S

Date of Deposit: November 17, 2003

I hereby certify that the following documents as identified below are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under CFR 1.10 on the date indicated above and are addressed to: Box: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450-:

- 1. Return Receipt postcard.
- 2. Transmittal letter
- 3. Specification/Claims/Abstract 21 pages;
- 4. <u>6</u> sheets of formal drawings;
- 5. Declaration and Power of Attorney;

Each of the 7 above-identified documents are enclosed herewith.

Respectfully submitted,

Kenton R. Mullins Registration No. 36,331

4 Venture, Suite 300 Irvine, CA 92618 Telephone 949/450-1750 Facsimile 949/450-1764